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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of

ISRAEL HILER et al.

Serial No.: TO BE ASSIGNED

Filed: HERewith

For: INTERNET COMMUNICATIONS METHOD

TRANSMITTAL LETTER

BOX: PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed herewith for filing in the above-identified case are:

- Specification containing 21 pages of description, 6 pages of claims and 1 page of abstract;
- Declaration For Patent Application containing a power of attorney;
- Five sheets of drawings; and
- Our return postcard, which we would appreciate your date stamping and returning to us upon receipt.

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13 June 2000

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The total filing fee has been calculated as follows:

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Please find enclosed our check for \$690.00 to cover the filing fees.

I hereby authorize the Assistant Commissioner to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.50-1060. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Date: 13 June 2000

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Enclosures

cc: Roxanne Morgan, Esq. (RM 168)

SPECIFICATION

Docket No. 0544MH-35309

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN that we, Ajit Sagar, Israel Hilerio, residing in the state of Texas, and Vijayasathy S. Chakravarthy; residing in the State of California, have invented new and useful improvements in an

INTERNET COMMUNICATIONS METHOD

of which the following is a specification:

BACKGROUND OF THE INVENTION

1. Field of the Invention:

The present invention relates generally to communications systems and control for computer networks, and more specifically to an intelligent control system for use with a message exchange network.

2. Description of the Prior Art:

The recent dramatic increases in communications bandwidth and capability are enabling businesses to work using techniques not previously available or contemplated. The high level of communication available between companies allow them to provide on-line, real-time manufacturing, ordering, and shipping control capabilities. The communications networks currently coming into place will allow companies to enter orders, receive responses, and perform other manufacturing and shipping related tasks as if two companies were directly linked and closely related.

High-level communications interconnectivity between companies allows them to establish relationships not hereto possible. Separate companies are beginning to establish communication links which allow them to cooperate much more closely with suppliers and customers. For example, systems currently coming into use are allowing companies to take an order for a customer, confirm availability of products from suppliers, and

1 confirm to the customer a shipping date for the order. As a network available to
2 everyone, the internet is the generally accepted vehicle for implementing these systems.

3
4 Linking between companies is still usually done on a one to one basis between the
5 companies. It is necessary to establish a relationship between two companies, and
6 determine a protocol whereby data may be shared between the companies and messages
7 passed. This is relatively inefficient and redundant, and results in numerous companies
8 creating the same or similar subsystems multiple times. It would be desirable to provide
9 a central clearing house, or exchange, by which companies can communicate to each
10 other. It would be desirable for such exchange to provide additional functionality and
11 intelligence beyond merely passing messages between companies using the exchange.

SUMMARY OF THE INVENTION

In accordance with the present invention, a communication system provides an exchange service between multiple companies. Messages between companies are routed through the exchange. These messages may represent any data or functionality desired by the companies. These messages may be requests, quotes, replies, and similar messages. Certain types of messages are designated as events to the exchange system. A portion of the exchange handles these events with rules, producing actions and additional events in response to occurrences consistent with the rules.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself however, as well as a preferred mode of use, further objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1 is a high level diagram showing an exchange communication system for transacting business between numerous companies;

Figure 2 is a dataflow diagram illustrating an exchange of messages between companies;

Figure 3 is a block diagram showing the structure of a preferred event-condition-action control system;

Figures 4 and 5 are block diagrams illustrating examples of how the preferred embodiment functions;

Figures 6, 7, and 8 are petri-net examples illustrating operation of control logic of the preferred embodiment; and

1 Figure 9 is a petri-net illustrating control flow in a transaction example.

DESCRIPTION OF THE PREFERRED EMBODIMENT

1
2 In the preferred embodiment, a centralized communications service, hereinafter
3 referred to as an exchange 10, is provided in communication with numerous corporate
4 computer systems. As shown in Figure 1, users of the exchange are grouped into suppliers
5 12, manufacturers 14, resellers 16, customers 18, and logistics 20. It will be understood by
6 those skilled in the art that each of these areas is represented by numerous companies. In
7 addition, any one company may fall under different categories at different times. For
8 example, a manufacturer may have numerous suppliers, each of which considers that
9 manufacturer to be a customer. Each of the suppliers may in turn have suppliers of their
10 own. Companies designated as resellers may be considered as suppliers to one
11 manufacturer or customer, and customers to another. It will be understood that the
12 functional groupings shown in Figure 1 are for convenience only, and many relationships
13 are not easily formed into a simplistic definition.

14
15 As contemplated by the present invention, the exchange service provides a
16 mechanism for routing messages between companies. These messages can be formatted in
17 numerous ways so that companies having disparate computer systems can communicate
18 effectively. Preferably, the messaging system is independent of the system designs used by
19 various companies, but the particular messaging system utilized does not itself form a part
20 of the present invention.

As referred to in Figure 1, those companies designated as logistics are generally shippers of physical items. Including shipment details in a communications network so that they can be accessed improves efficiency of the overall system.

Referring to Figure 2, an example is shown of a particular simple transaction to illustrate how the exchange works. In this extremely simple example, four separate companies are designated as customer 22, reseller 24, manufacturer 26, and logistics 28, or transportation. As described above, the relationships between these companies can change in the context of a different transaction.

In the transaction shown in Figure 2, the customer 22 sends an order message A through the exchange 10 to reseller 24. This order can be a firm order, a request for a quotation, or similar request. In order to determine whether the order can be filled, and various terms such as shipping date, reseller 24 sends messages B and C to manufacturer 26 and logistics 28 respectively. Messages B and C pass through the exchange 10 to these companies. The manufacturer 26 determines the terms upon which it can supply the order, and returns message D through the exchange 10 to reseller 24. At the same time, the logistics company 28 determines availability of shipping, and returns message E with this information to reseller 24. In some transactions, shipping information may pass between manufacturer 26 and logistics company 28, with shipping being a part of message D returned from manufacturer 26 to reseller 24.

1 In the present example, reseller 24 determines availability in terms of the order
2 based upon a promise from the manufacturer 26. In addition, shipping date and terms are
3 determined. This information is placed into message F, which is returned through the
4 exchange 10 to customer 22.

5
6 In this example, placing an order and the relationships between various companies
7 are straightforward. However, use of the exchange 10 becomes more valuable if it can
8 contain intelligence of its own, and perform more complex tasks.

9
10 For example, with access to many suppliers, customers will often want to request
11 quotes from several suppliers, or possibly even select one or more suppliers through an
12 auction or similar process. This can involve the customer placing an order to a shared
13 location in a manner that is available to all interested suppliers. Any supplier who wishes to
14 bid on the order can do so, and the exchange can handle collecting quotes and making it
15 available to the customer as is described below.

16
17 In a similar manner, suppliers can automate, or partially automate, the interface
18 between themselves and their potential customers. When orders are placed, simple orders
19 can be responded to automatically. For example, in the same communications sequence
20 given above in Figure 2, messages B and C generated by reseller need not be generated as
21 the result of human interaction. Instead, if this order is one of a standard type which fits
22 certain parameters, as selected by reseller 24, messages B and C can be generated
23 automatically upon receipt of a qualifying order. This type of automated message handling

instances of conditions 38, which are generally supplied by users of the system. An action container 40 contains instances of actions 42, which are also generally supplied by users.

When a user desires the exchange to perform an intelligent response or filtering, a message is sent. For example, if a customer wishes to obtain goods to supply an order, a request for quotes message can be sent to the appropriate companies, or posted to a central location made available in the exchange. Replies to the message, which will consist of quotations by various suppliers, will be accepted as events by the exchange and handled in a manner designated by the center of the original message. All messages 32 come into the event container 30, and are stored there for further processing.

The timer 34 is used to generate events related to the clock or the calendar. If, for example, the customer wants to consider only bids which are submitted within a particular time window, responsive messages are time stamped and compared with timing events generated by the timer 34.

Within the condition container 36 are numerous instances of conditions 38 which have been defined by users of the exchange. In the example of a customer putting an order out for bids, conditions regarding receipt of those bids, for example, can be defined as condition instances. Any type of condition desired by the customer can be implemented in the conditions instances. These are implemented as logical relationships between characteristics of the events, such as time, number, and value of various parameters. For example, the customer could want to consider only the first three responsive events, or only

1 responses returned before close of business on the same day as the request, and so forth.
2 These types of logical conditions are expressed in the condition instances as is described
3 below in more detail.
4

5 The action container 40 contains instances of actions 42 which are to occur in
6 response to conditions being met. Typically, the actions will be to generate additional
7 events. In such case, actions which occur are also returned as events to the event container
8 30.

9
10 Breaking the function of the exchange into these three conceptual blocks allows
11 many changes to be made dynamically. For example, changes can be made to conditions
12 without affecting events which have already occurred. Because events are stored in the
13 event container, conditions can be modified as desired by the user without impacting the
14 event container. As is described further below, once a condition, whether original or
15 modified, has been met, the events fulfilling that condition are removed from the event
16 container 30.

17
18 In a similar manner, actions can be changed independently of events or conditions.
19 When a condition instance is changed, it will be common to change the corresponding
20 action instance. However, these two sets of instances are not tightly tied together, and may
21 be modified independently.
22

Each container utilizes a listener to watch for incoming events. This will typically be interrupt driven, so that something will be done within the container when the listener detects that an event has arrived. Within the event container, the events are stored and catalogued. Additionally, conditional determinations may be made as described below. In the condition container, when an event arrives from the event container, the condition framework, or engine, determines which conditions may be affected by the event. There may be more than one such condition. The framework then determines whether any of the potentially affected conditions are satisfied, and if so an event is sent to the action container.

Within the action container, receipt of an event by the listener causes the appropriate action or actions to be performed. As described above, some of these actions will be the generation of an event which is returned to the event container, where they are detected by the event listener.

Figure 4 is an example illustrating how the exchange functions in a simple instance. In this example, a business can automatically accept simple orders within certain parameters, and send a return message to the customer promising fulfillment of the order. In the example of Figure 4, orders are to be processed only between 8:00 a.m. and 6:00 p.m. This rule, referred to as an Event-Condition-Action (ECA) rule, is set up to deal with a certain specific case. Other ECA rules would be setup for other ordering conditions.

Referring to Figure 4, an event framework so is an operational portion of the event container. It contains a listener, which constantly scans for events. The timer 34 generates

1 timing events, 52, 54 which are recognized by the event framework 50. In other words, the
2 event framework is aware of the current time. In the present case, incoming events are only
3 to be processed between the hours of 8:00 a.m. and 6:00 p.m.
4

5 In the preferred embodiment, the event framework contains conditions in addition to
6 those contained in the condition container. Conditions in the event container are preferably
7 a small subset of possible conditions, directed to timing and counting of events. Thus, a rule
8 within the event framework can provide that a message is sent to the condition container
9 only if an order is received between the timed events of 8:00 a.m. and 6:00 p.m. Another
10 type of condition preferably implemented in the event framework is an event counting
11 condition, such as "take an action once three proposals have been received." Such counting
12 of events is preferably a task performed within the event container. If desired, all of these
13 timing and counting conditions could be implemented in the condition container, but in a
14 large system, the condition container will generally contain many complex conditions set up
15 by system users. Low level decisions, such as time related or count related decisions, can
16 easily be implemented within the event container without adding to the complexity already
17 inherent in the large number of conditions in the condition container.
18

19 Event2 56 is an order by a customer which has a quantity of 400, and a price of
20 \$4,500. The listener of the event framework 50 recognizes the occurrence of Event2, and
21 determines that it occurs between Event1 (8:00 a.m.) and Event3 (6:00 p.m.). It therefore
22 generates an Event2', containing the terms of the order, and sent to the condition container.

1 If, as described above, the time related conditions are instead implemented in the condition
2 container, the just described condition would be processed there.

3
4 The condition instance 58 in the condition container, set up by the company
5 accepting orders, specifies that this condition is triggered if an order comes in having a
6 quantity less than 500, and a price less than \$5000. Once the listener within the condition
7 framework notices the occurrence of Event2', which meets these conditions, Event2'' is
8 generated. Event2'' is an order having the previously noted quantity and price. Event2'' is
9 sent to the action instance 60, which defines the actions to be taken when such an order is
10 received. Once the listener within the action container notes the occurrence of Event2'', a
11 promise to fulfill the order is obtained and the order is sent to the company for processing.
12 Event4 62 is generated, which is a return promise back to the customer. The customer will
13 presumably provide its own conditions for handling a promise such as Event4, but the
14 message may simply be forwarded by the system to be handled by a person in the usual
15 way.

16
17 Referring to Figure 5, the same situation is illustrated, except that two separate
18 actions are connected to this condition instance. In addition to the action 62 described in
19 connection with Figure 4, an additional action 64 is provided which logs the order to a
20 database 66 so that it is available to the company. Any number of actions may be attached
21 to a single condition instance.

As mentioned earlier, when an event occurs that event is remembered within the system until it is used and explicitly removed. In other words, the event persists within the system, and is not lost due to changes and conditions or actions. For example, if a customer wishes to accept ten bids on an order before making a decision, an ECA rule can be set up which reports the bids only after ten are received. If the customer changes his mind at some point, that rule can be modified to generate an action when, for example, only five bids are received. Each incoming message is an event, and changing the condition does not lose any bids already in the system. In other words, each event is held within the event container until the condition container indicates that each of the corresponding events has been used to fulfill a condition and generate an action. Only at that time are events removed from the event container. Events are preferably defined to expire within some selected time period, such as a few days, so the event container does not become clogged with unused events. Expiration is a time-related condition which operates in the normal manner, to delete messages which have a date stamp older than a desired value.

Persistence allows many changes to be made to the system dynamically, without interrupting running of the system. For example, if the customer decides that, in addition to the regular notification, a certain manager is to be notified via pager that the requisite number of bids have been received, an action can simply be added corresponding to the condition instance to send a message to a designated pager. Even if this type of capability is not present on the system initially, once the capability is added action instances may be modified to take advantage of it. This allows the system to grow dynamically in response to user demands and the availability of new technology.

Conceptually, the internal logic within the condition container and the event container utilizes the concept of “petri-nets”. As is known in the art, this is a conceptual framework which allows for generation of actions in response to asynchronous events, and persistence in the manner described above. Simple examples of petri-nets are shown in Figures 6-8, and will be recognized by those familiar with this technology.

Referring to Figure 6, a simple petri-net which corresponds to the conjunction of two events generating an action is shown. The first and second event, represented by circles 70 and 72, correspond to “places” in petri-net terminology. Action event 74 also corresponds to a place. The condition instance 76 corresponds to a transition. In Figure 6, the transition occurs if both the first and second events have occurred, causing the resulting action 74 to be generated. The first two places correspond to events received by the event container, and the resulting place corresponds to an event generated by an action instance.

Figure 7 shows a similar petri-net diagram, with the first and second events 78, 80 being combined in a logical or operation. If either event occurs, the resulting action event 82 is generated.

Figure 8 shows an event that is a composite of composite events. As will be appreciated by those skilled in the art, nets-nets can be logically combined to any level of complexity to define the desired condition. Figure 8 shows a petri-net for (E1 or (E2 and

1 E3)). In other words, an E4, corresponding to an event generated by an action instance, is
2 generated when either E1 or both of E2 and E3 occur.

3
4 Manipulation of petri-nets calls for tokens to be placed in various places. When all
5 of the places which provide an input to a transition are filled, these tokens are all removed
6 and tokens are placed in all output places. This corresponds conceptually to the generation
7 of persistent events in the event container, followed by removal of these events and
8 generation of action events as described above. A transition corresponds to a condition
9 instance, and output places correspond to actions. Conceptually, a petri-net separates inputs
10 from outputs, in a manner similar to separation of ECA events into the three separate event,
11 condition and action containers.

12
13 Figure 9 is a more complex petri-net representing a condition similar to the request
14 for three quotes described above. In this set of conditions, the customer desires to make a
15 selection only when three separate quotes have been submitted in response to a request.
16 When each of the quotes Q1, Q2, and Q3 have been submitted, a transition occurs which
17 generates two outputs 82, 84. The first output action 82 is an acknowledgement to all who
18 have submitted quotes that the quotes have been received, and the second output action 84 is
19 submission of the quotes to a selection process. This may be automated, or may be reported
20 to a person to make decision as to which quote is to be accepted. If selection is automated,
21 the selection may be as complex as necessary. The selector action 84 represents activity
22 which may take place out of the exchange, by sending appropriate messages to the company
23 which will be returned when a decision has been made. Once a decision has been made and

1 returned to the exchange, the selector place 84 will be filled by a token, which will initiate
 2 the second transition. Outputs from the second transition are, in this example, to enter an
 3 order with the company providing the winning quote 86, and to send a notice of non-
 4 acceptance to the others 88.

5
 6 It will be appreciated that this petri-net corresponds to the logic of the exchange
 7 controller. Quotes Q1, Q2, and Q3 correspond to messages sent to the exchange in response
 8 to a bid. The condition defined by the customer requires three quotes to be submitted before
 9 a decision is made, so that acknowledgement of the submissions and initiation of the
 10 selection process, are made only after three quotes are received. As described above,
 11 counting three quotes is preferably done in the event container, but could be implemented in
 12 the condition container if desired. The selection process can be a simple or as complex as
 13 desired by the customer, and can be entirely automated or entirely manual. Once the
 14 customer makes a selection, an event is sent to the exchange which corresponds to the
 15 selection node. This triggers a second condition instance, which generates the order and
 16 sends a notice of non-acceptance to the losing bids.

17
 18 Because actions can generate events which are used to satisfy other conditions, the
 19 relatively simple conceptual structure of the Event-Condition-Action logical control for the
 20 exchange can be used to perform quite complex behavior. The system itself is very simple,
 21 it simply responds to events which occur. If no events occur, the exchange logic control
 22 does nothing. As events occur, however, any number of resulting events may be directly or
 23 indirectly generated and fed back through additional condition instances. With minimal

What is claimed is:

1. A communications exchange, comprising:

a communication interface for sending and receiving messages;

an event container connected to the communication interface, wherein received messages are sent to the event container as events;

a condition container connected to the event container, wherein the condition container contains a plurality of condition instances; and

an action container connected to the condition container, the action container containing a plurality of action instances;

wherein, when a set of events received by the event container matches a predicate of a condition instance, an action, defined in an action instance associated with such condition instance, is performed.

2. The exchange of Claim 1, further comprising:

a timer in the event container, wherein the timer generates events related to time.

3. The exchange of Claim 1, wherein the condition instances are interpreted at run time, wherein the condition instances can be changed while the exchange is operating.

4. The exchange of Claim 1, wherein a plurality of the action instances, when performed, generate a new event which is sent to the event container.

5. A communication system, comprising:

a communications exchange; and

a plurality of user communications systems connected to the exchange;

wherein the exchange includes:

a communication interface for sending messages to, and receiving messages from, the user communications systems;

an event container connected to the communication interface, wherein received messages are sent to the event container as events;

a condition container connected to the event container, wherein the condition container contains a plurality of condition instances; and

an action container connected to the condition container, the action container containing a plurality of action instances;

wherein, when a set of events received by the event container matches a predicate of a condition instance, an action, defined in an action instance associated with such condition instance, is performed.

6. The exchange of Claim 5, further comprising:

a timer in the event container, wherein the timer generates events related to time.

7. The exchange of Claim 5, wherein the condition instances are interpreted at run time, wherein the condition instances can be changed while the exchange is operating.

8. The exchange of Claim 5, wherein a plurality of the action instances, when performed, generate a new event which is sent to the event container.

9. A method for handling messages in a communications system, comprising the steps of:

providing a plurality of conditions and associated events, wherein a condition causes an associated action to be performed when a set of input event conditions is satisfied;

receiving a plurality of messages, each message being treated as an event;

when a subset of events satisfies a condition, performing the associated action.

10. The method of Claim 9, further comprising the steps of:

generating timing events; and

satisfying a condition using at least one timing event combined with an event which is not a timing event.

11. The method of Claim 9, wherein the conditions are interpreted at run time, whereby changes to the conditions can be made while a computer system is executing.

12. The method of Claim 9, wherein at least one of the conditions causes more than one action to be performed when it is satisfied.

ABSTRACT

1
2 A communication system provides an exchange service between multiple
3 companies. Messages between companies are routed through the exchange. These
4 messages may represent any data or functionality desired by the companies. These
5 messages may be requests, quotes, replies, and similar messages. Certain types of messages
6 are designated as events to the exchange system. A portion of the exchange handles these
7 events with rules, producing actions and additional events in response to occurrences
8 consistent with the rules.
9

FIG 1

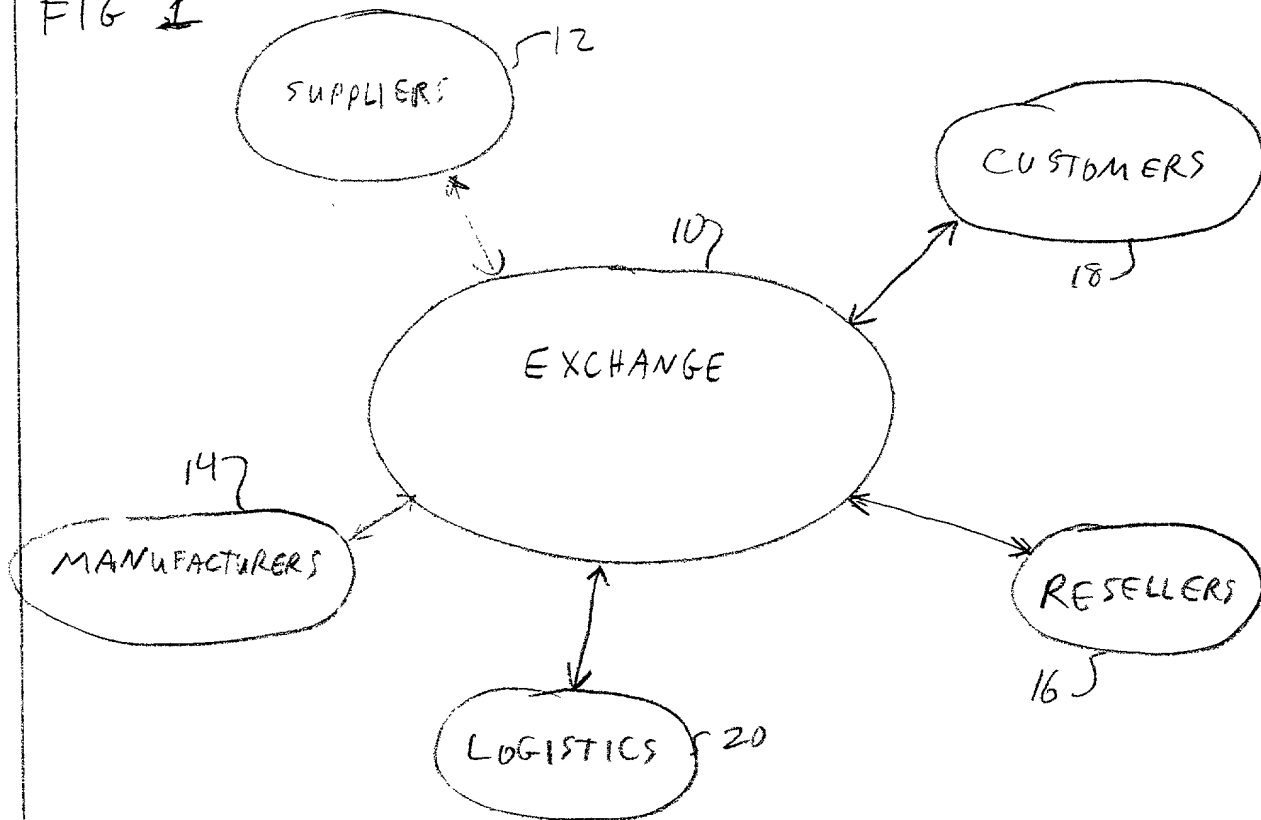


FIG 2

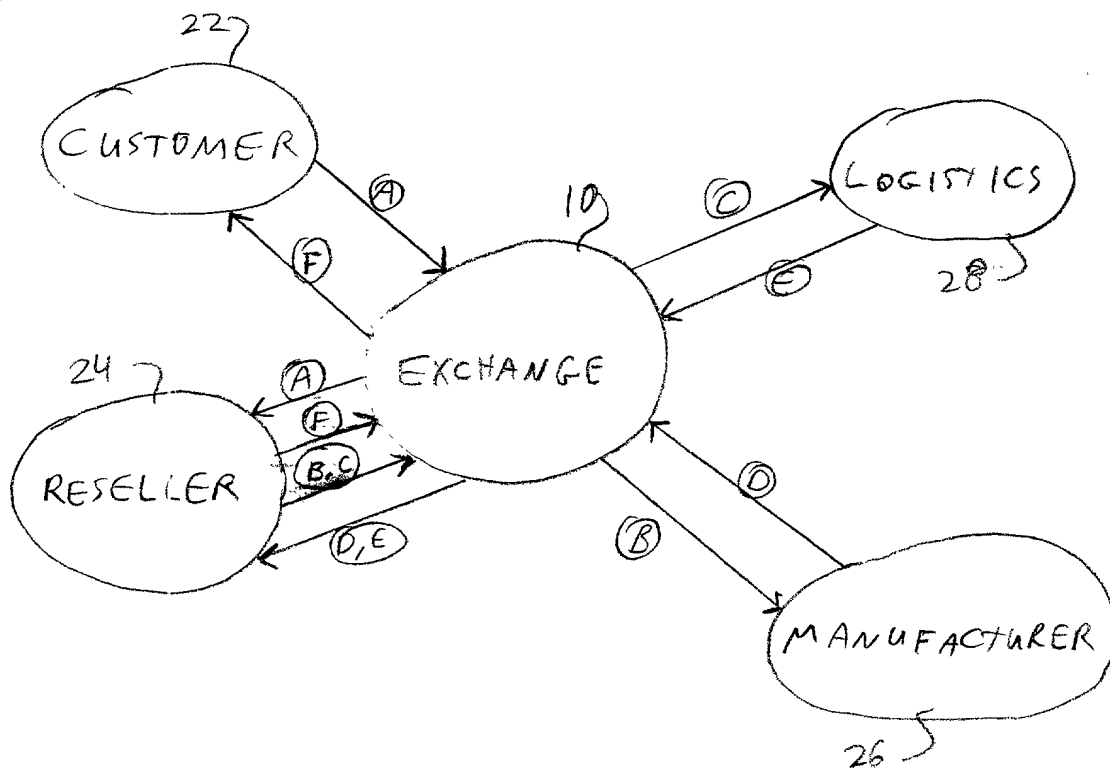


FIG 3

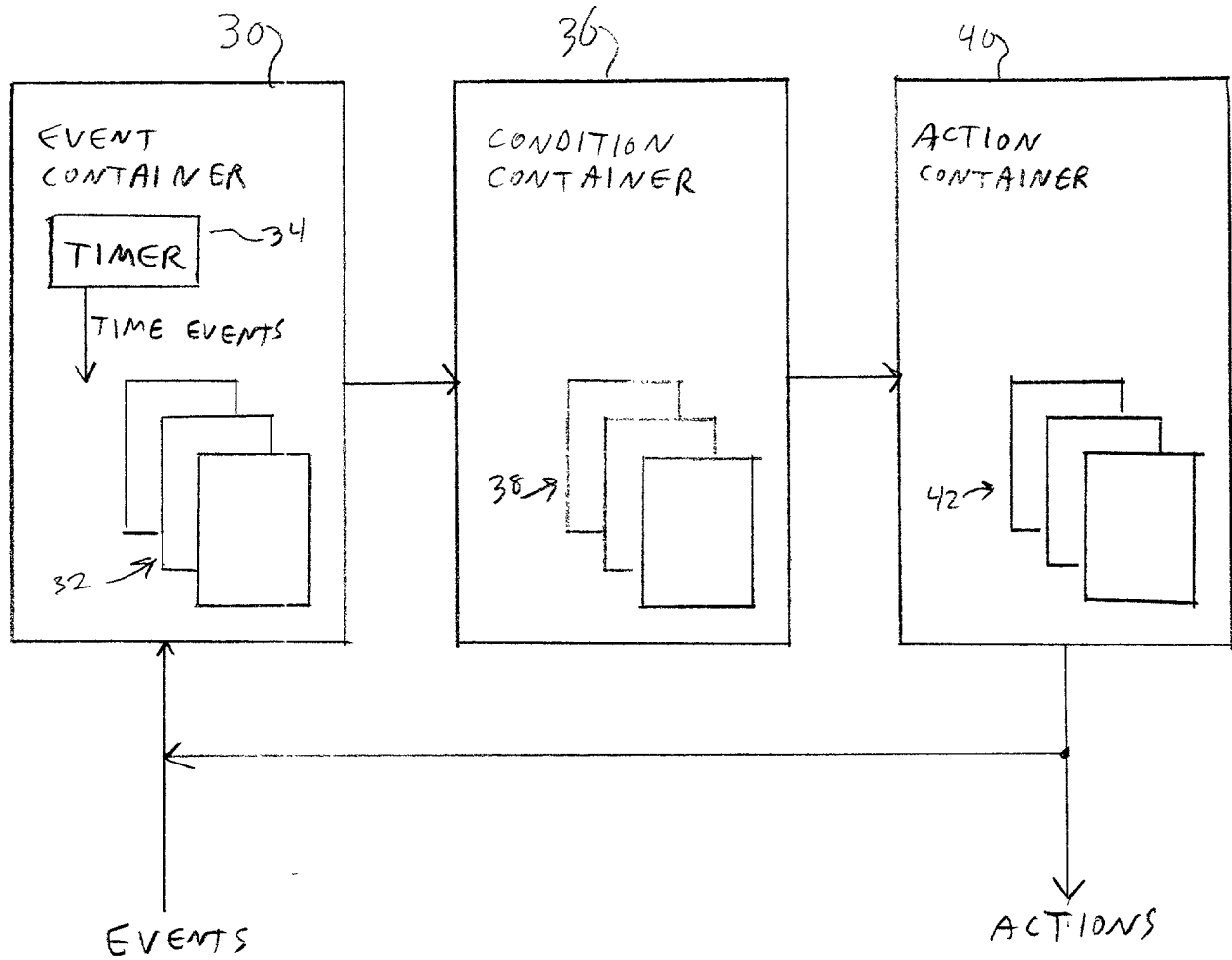
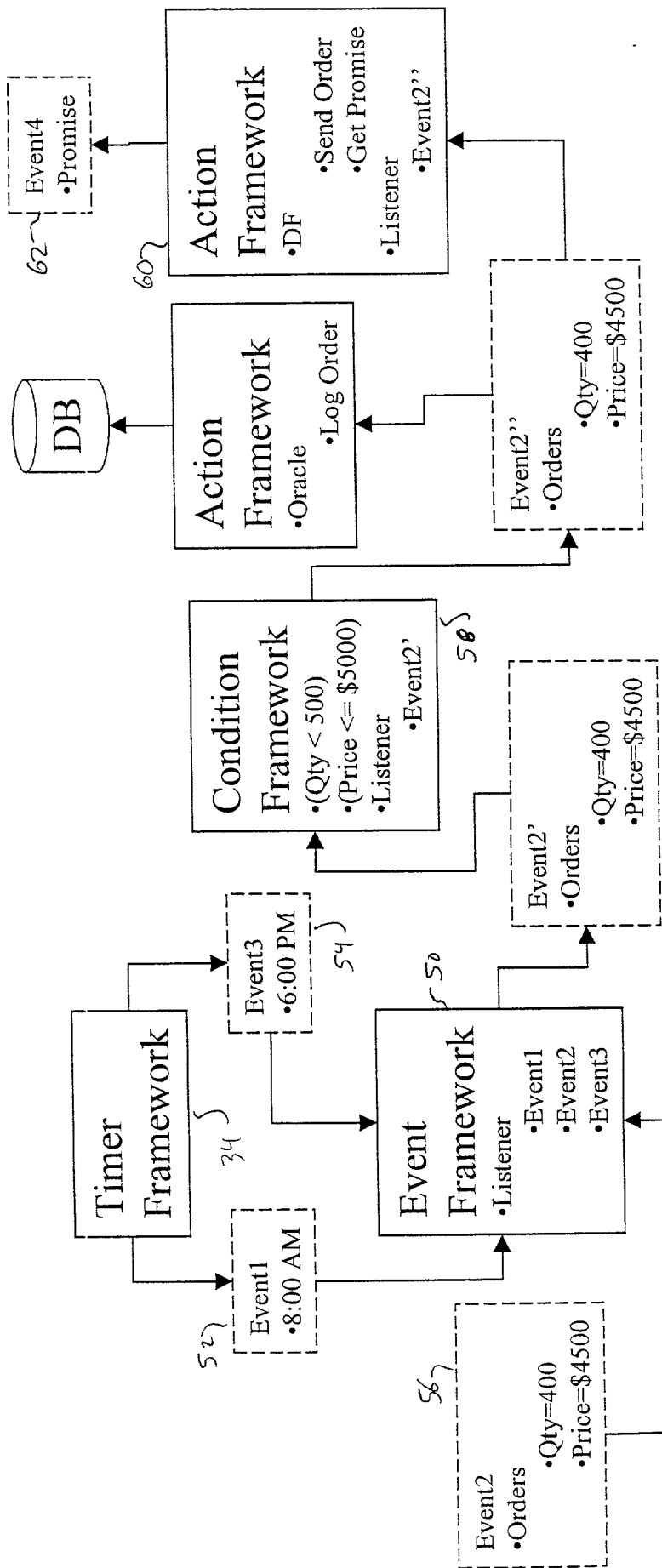


FIG 5



DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name,

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

INTERNET COMMUNICATIONS METHOD

the specification of which is attached hereto; or which was filed on _____, Serial No. _____.

Benefit is herein claimed of the filing date under 35 U.S.C. §§ 119 and/or 120, and 37 C.F.R. § 1.78 to United States provisional patent application Serial No. 60/139,023, filed on June 14, 1999, entitled "Intelligent Internet Reflective Event Framework (i2REF)."

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint **Melvin A. Hunn**, Reg. No. **32,574**; and **Kenneth C. Hill**, Reg. No. **29,650** to prosecute this application and to transact all business in the U.S. Patent and Trademark Office in connection therewith.

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